

## Part 4: PURCHASE POSITIONS PURSUANT TO art. 14 para. 1 a FMIO-FINMA

### Total of all purchase positions:

Total of all equity securities or equity related securities (item 1), number of voting rights that can be exercised at one's own discretion (item 3) and derivative holdings (item 4)

Basis of calculation (art. 14 para. 2 FMIO-FINMA):

total number of voting rights pursuant to the entry in the commercial register (see [Central Business Names Index](#)) or [Publication](#) according to art. 115 para. 3 FMIO:

Number of voting rights	Percentage	
$S1 = [1.1] + [3.1] + [4.1]$	$S2 = [1.2] + [3.2] + [4.2]$	<b>3,597,218,551</b>
<b>134,414,936</b>	<b>3.74%</b>	

### 1. Equity securities or equity related securities

Shares	Number	Voting rights <sup>1</sup>	
		Number	Percentage <sup>2</sup>
Registered	<b>105,418,758</b>	<b>105,418,758</b>	<b>2.93%</b>
Bearer			
<b>Total</b>		<b>105,418,758</b>	<b>2.93%</b>

<sup>1</sup> Whether exercisable or not.

<sup>2</sup> Calculated on the basis of the total number of voting rights pursuant to the entry in the commercial register (art. 14 para. 2 FMIO-FINMA).

### 2. Securities lending and comparable transactions in equity securities

Of the equity securities mentioned in item 1 above, the following part is held due to securities lending and comparable transactions (art. 17 FMIO-FINMA):

Shares	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty
Registered	<b>12,710,301</b>	<b>0.35%</b>	<b>Securities Lending</b>	<b>Right to choose of the counterparties</b>
Bearer				

### 3. Voting rights that can be exercised at one's own discretion

The following voting rights were delegated by a third party and can be exercised at one's own discretion:

Voting rights	
Number	Percentage
$[3.1]$	$[3.2]$
<b>162,089</b>	<b>0.005%</b>

The number of voting rights disclosed above in item 3 does not have to be additionally disclosed in item 1. However, the number of voting rights has to be added to the total number of all purchase positions.

The notification is made on a consolidated basis within the meaning of art. 10 para. 2 sentences 2 and 3 FMIO-FINMA:

- Yes, on a consolidated basis.  No, the notification is made by the person who decides how voting rights are exercised.

#### 4. Derivative holdings

Conversion and share purchase rights (such as call-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) share sale rights and other derivative holdings:

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
		Number	Percentage <sup>2</sup>	
				(Identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
Call Option (Physically Settled)	51,931	5,193,100	0.14%	Underlying: CH0108503795 Exchange ratio: 1:100 Exercise price: 0.55 CHF Expiration: 16.06.2023 Settlement: Physical Style: American
Equity Swap	1	232,708	0.01%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 28.08.2023 Settlement: Cash Style: N/A
Equity Swap	1	11,396,733	0.32%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 11.03.2024 Settlement: Cash Style: N/A
Equity Swap	1	10,000,000	0.28%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 10.02.2025 Settlement: Cash Style: N/A
Equity Swap	1	2,009,745	0.06%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 10.07.2025 Settlement: Cash Style: N/A
Retail Structured Product	1053	1,053	0.00003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 02.09.2072 Settlement: Cash Style: European
Retail Structured Product	750	750	0.00002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 01.02.2073 Settlement: Cash Style: European

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
		[4.1]	[4.2]	
<b>Total</b>		<b>28,834,089</b>	<b>0.80%</b>	

2 Calculated on the basis of the total number of voting rights pursuant to the entry in the commercial register (art. 14 para. 2 FMIO-FINMA).

### 5. Securities lending and comparable transactions in derivative holdings

Of the derivative holdings mentioned in item 4 above, the following part is held due to securities lending and comparable transactions (art. 17 FMIO-FINMA):

Type of rights	Number of rights	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty

**Part 5: SALE POSITIONS PURSUANT TO art. 14 para. 1 b FMIO-FINMA**

**Total of all sale positions:**

Basis of calculation (art. 14 para. 2 FMIO-FINMA):  
total number of voting rights pursuant to the entry in the commercial register (see [Central Business Names Index](#)) or [Publication](#) according to art. 115 para. 3 FMIO:

Number of voting rights	Percentage
<b>185,445,030</b>	<b>5.16%</b>

**3,597,218,551**

**Derivative holdings**

Share sale rights (such as put-options according to art. 15 para. 2 a FMIO-FINMA), granted (written) conversion and share purchase rights and other derivative holdings:

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
		Number	Percentage <sup>2</sup>	
Equity Swap	1	227,000	0.01%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 22.04.2024 Settlement: Cash-settled Style: N/A
Equity Swap	1	180,961,775	5.03%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 30.08.2024 Settlement: Cash-settled Style: N/A
Equity Swap	1	3,069,571	0.09%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 16.12.2024 Settlement: Cash-settled Style: N/A
Equity Swap	1	677,590	0.02%	Underlying: CH0108503795 Exchange ratio: N/A Exercise price: N/A Expiration: 27.01.2025 Settlement: Cash-settled Style: N/A
Retail Structured Product	1,223	1,223	0.00003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 22.10.2069 Settlement: Cash-settled Style: European

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
Retail Structured Product	38,774	38,774	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 09.03.2070 Settlement: Cash-settled Style: European
Retail Structured Product	95	95	0.000003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 23.04.2070 Settlement: Cash-settled Style: European
Retail Structured Product	1,915	1,915	0.0001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 28.04.2070 Settlement: Cash-settled Style: European
Retail Structured Product	91,919	91,919	0.003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 28.04.2070 Settlement: Cash-settled Style: European
Retail Structured Product	2,109	2,109	0.0001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 22.06.2070 Settlement: Cash-settled Style: European
Retail Structured Product	215	215	0.00001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 27.08.2070 Settlement: Cash-settled Style: European
Retail Structured Product	6,516	6,516	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 15.09.2070 Settlement: Cash-settled Style: European

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
Retail Structured Product	4,400	4,400	0.0001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 28.09.2070 Settlement: Cash-settled Style: European
Retail Structured Product	2,670	2,670	0.0001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 01.10.2070 Settlement: Cash-settled Style: European
Retail Structured Product	15,053	15,053	0.0004%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 18.11.2070 Settlement: Cash-settled Style: European
Retail Structured Product	48,800	48,800	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 14.12.2070 Settlement: Cash-settled Style: European
Retail Structured Product	2,013	2,013	0.0001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 24.01.2072 Settlement: Cash-settled Style: European
Retail Structured Product	23	23	0.000001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 23.02.2072 Settlement: Cash-settled Style: European
Retail Structured Product	6,530	6,530	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 04.04.2072 Settlement: Cash-settled Style: European

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
Retail Structured Product	6,325	6,325	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 18.05.2072 Settlement: Cash-settled Style: European
Retail Structured Product	5,514	5,514	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 02.09.2072 Settlement: Cash-settled Style: European
Retail Structured Product	7,250	7,250	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 26.09.2072 Settlement: Cash-settled Style: European
Retail Structured Product	758	758	0.00002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 05.10.2072 Settlement: Cash-settled Style: European
Retail Structured Product	1,701	1,701	0.00005%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 14.10.2072 Settlement: Cash-settled Style: European
Retail Structured Product	45,180	45,180	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 19.10.2072 Settlement: Cash-settled Style: European
Retail Structured Product	14,125	14,125	0.0004%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 24.10.2072 Settlement: Cash-settled Style: European

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
Retail Structured Product	40,823	40,823	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 04.11.2072 Settlement: Cash-settled Style: European
Retail Structured Product	44,880	44,880	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 09.11.2072 Settlement: Cash-settled Style: European
Retail Structured Product	1,605	1,605	0.00004%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 09.11.2072 Settlement: Cash-settled Style: European
Retail Structured Product	65,592	65,592	0.002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 02.12.2072 Settlement: Cash-settled Style: European
Retail Structured Product	31,682	31,682	0.001%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 05.12.2072 Settlement: Cash-settled Style: European
Retail Structured Product	8,100	8,100	0.0002%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 24.03.2073 Settlement: Cash-settled Style: European
Retail Structured Product	1,230	1,230	0.00003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 27.03.2073 Settlement: Cash-settled Style: European



Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN) if available or basic terms
Retail Structured Product	1,000	1,000	0.00003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 29.03.2073 Settlement: Cash-settled Style: European
Retail Structured Product	11,074	11,074	0.0003%	Underlying: CH0108503795 Exchange ratio: 1:1 Exercise price: N/A Expiration: 12.04.2073 Settlement: Cash-settled Style: European
		[3.1]	[3.2]	
<b>Total</b>		<b>185,445,030</b>	<b>5.16%</b>	

2 Calculated on the basis of the total number of voting rights pursuant to the entry in the commercial register (art. 14 para. 2 FMIO-FINMA)