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|-------------|---------|---------|-------|-----------------------------------|-----|-------|------------|------|
| Equity Swap | 31267 | 31267 | 0.005 | OXFORD ASSET MANAGEMENT LLP | CHF | 1.242 | 03.12.2018 | Cash |
| Equity Swap | 61812 | 61812 | 0.010 | OXFORD ASSET MANAGEMENT LLP | CHF | 1.263 | 03.12.2018 | Cash |
| Equity Swap | 11314 | 11314 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.001 | 08.05.2019 | Cash |
| Equity Swap | 105 | 105 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 14.09.2018 | Cash |
| Equity Swap | 87 | 87 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 17.09.2018 | Cash |
| Equity Swap | 36284 | 36284 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 20.09.2018 | Cash |
| Equity Swap | 36499 | 36499 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 21.09.2018 | Cash |
| Equity Swap | 36314 | 36314 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 24.09.2018 | Cash |
| Equity Swap | 35910 | 35910 | 0.005 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 25.09.2018 | Cash |
| Equity Swap | 34993 | 34993 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 26.09.2018 | Cash |
| Equity Swap | 37043 | 37043 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 27.09.2018 | Cash |
| Equity Swap | 43337 | 43337 | 0.007 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 28.09.2018 | Cash |
| Equity Swap | 52995 | 52995 | 0.008 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 31.10.2018 | Cash |
| Equity Swap | 5488 | 5488 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 04.10.2018 | Cash |
| Equity Swap | 68930 | 68930 | 0.011 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 05.10.2018 | Cash |
| Equity Swap | 70096 | 70096 | 0.011 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 08.10.2018 | Cash |
| Equity Swap | 21878 | 21878 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 09.10.2018 | Cash |
| Equity Swap | 37284 | 37284 | 0.006 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 10.10.2018 | Cash |
| Equity Swap | 26467 | 26467 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 17.10.2018 | Cash |
| Equity Swap | 11936 | 11936 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 22.10.2018 | Cash |
| Equity Swap | 11907 | 11907 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 23.10.2018 | Cash |
| Equity Swap | 31981 | 31981 | 0.005 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 24.10.2018 | Cash |
| Equity Swap | 12088 | 12088 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 29.10.2018 | Cash |
| Equity Swap | 13704 | 13704 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 30.10.2018 | Cash |
| Equity Swap | 21105 | 21105 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 31.10.2018 | Cash |
| Equity Swap | 20433 | 20433 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 08.11.2018 | Cash |
| Equity Swap | 44455 | 44455 | 0.007 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 07.11.2018 | Cash |
| Equity Swap | 199 | 199 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 08.11.2018 | Cash |
| Equity Swap | 175 | 175 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 13.11.2018 | Cash |
| Equity Swap | 480 | 480 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 14.11.2018 | Cash |
| Equity Swap | 162 | 162 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 15.11.2018 | Cash |
| Equity Swap | 250 | 250 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 21.11.2018 | Cash |
| Equity Swap | 3430 | 3430 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 23.11.2018 | Cash |
| Equity Swap | 585 | 585 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 29.11.2018 | Cash |
| Equity Swap | 27378 | 27378 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 05.12.2018 | Cash |
| Equity Swap | 14391 | 14391 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 09.12.2018 | Cash |
| Equity Swap | 363 | 363 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 07.12.2018 | Cash |
| Equity Swap | 11039 | 11039 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 10.12.2018 | Cash |
| Equity Swap | 944 | 944 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 18.12.2018 | Cash |
| Equity Swap | 22621 | 22621 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 07.01.2019 | Cash |
| Equity Swap | 500 | 500 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 08.01.2019 | Cash |
| Equity Swap | 7089 | 7089 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 09.01.2019 | Cash |
| Equity Swap | 33967 | 33967 | 0.005 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 10.01.2019 | Cash |
| Equity Swap | 4185 | 4185 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 23.01.2019 | Cash |
| Equity Swap | 4054 | 4054 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 30.01.2019 | Cash |
| Equity Swap | 4265 | 4265 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 31.01.2019 | Cash |
| Equity Swap | 20762 | 20762 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 05.02.2019 | Cash |
| Equity Swap | 17015 | 17015 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 06.02.2019 | Cash |
| Equity Swap | 21130 | 21130 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 13.02.2019 | Cash |
| Equity Swap | 27876 | 27876 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 14.02.2019 | Cash |
| Equity Swap | 10962 | 10962 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 15.02.2019 | Cash |
| Equity Swap | 27479 | 27479 | 0.004 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 19.02.2019 | Cash |
| Equity Swap | 21468 | 21468 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 21.02.2019 | Cash |
| Equity Swap | 20239 | 20239 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 22.02.2019 | Cash |
| Equity Swap | 18485 | 18485 | 0.003 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 25.02.2019 | Cash |
| Equity Swap | 34224 | 34224 | 0.005 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 29.02.2019 | Cash |
| Equity Swap | 172 | 172 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 28.02.2019 | Cash |
| Equity Swap | 1868 | 1868 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 01.03.2019 | Cash |
| Equity Swap | 175104 | 175104 | 0.028 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 04.03.2019 | Cash |
| Equity Swap | 28198 | 28198 | 0.005 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 05.03.2019 | Cash |
| Equity Swap | 14199 | 14199 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 08.03.2019 | Cash |
| Equity Swap | 4914 | 4914 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 11.03.2019 | Cash |
| Equity Swap | 1114 | 1114 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 12.03.2019 | Cash |
| Equity Swap | 4585 | 4585 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 13.03.2019 | Cash |
| Equity Swap | 12256 | 12256 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 21.03.2019 | Cash |
| Equity Swap | 4957 | 4957 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 26.03.2019 | Cash |
| Equity Swap | 4598 | 4598 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 27.03.2019 | Cash |
| Equity Swap | 1133 | 1133 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 29.03.2019 | Cash |
| Equity Swap | 5139 | 5139 | 0.001 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 01.04.2019 | Cash |
| Equity Swap | 13370 | 13370 | 0.002 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 04.04.2019 | Cash |
| Equity Swap | 1678 | 1678 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.108 | 09.04.2019 | Cash |
| Equity Swap | 2082 | 2082 | 0.000 | RENAISSANCE TECHNOLOGIES LLC | CHF | 1.181 | 08.05.2019 | Cash |
| Equity Swap | 31233 | 31233 | 0.005 | ST2 L.P. | CHF | 1.745 | 30.04.2019 | Cash |
| Equity Swap | 177752 | 177752 | 0.029 | ST2 L.P. | CHF | 1.827 | 30.04.2019 | Cash |
| Equity Swap | 222658 | 222658 | 0.036 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.022 | 31.03.2023 | Cash |
| Equity Swap | 391542 | 391542 | 0.061 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.072 | 31.03.2023 | Cash |
| Equity Swap | 118364 | 118364 | 0.019 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.098 | 31.03.2023 | Cash |
| Equity Swap | 4040500 | 4040500 | 0.649 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.108 | 31.03.2023 | Cash |
| Equity Swap | 81343 | 81343 | 0.014 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.111 | 31.03.2023 | Cash |
| Equity Swap | 162639 | 162639 | 0.026 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.115 | 31.03.2023 | Cash |
| Equity Swap | 165884 | 165884 | 0.027 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.166 | 31.03.2023 | Cash |
| Equity Swap | 49979 | 49979 | 0.008 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.185 | 31.03.2023 | Cash |
| Equity Swap | 8755 | 8755 | 0.001 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.193 | 31.03.2023 | Cash |
| Equity Swap | 24449 | 24449 | 0.004 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.215 | 31.03.2023 | Cash |
| Equity Swap | 176 | 176 | 0.000 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.237 | 31.03.2023 | Cash |
| Equity Swap | 16295 | 16295 | 0.003 | D E SHAW AND COMPANY INCORPORATED | CHF | 1.259 | 31.03.2023 | Cash |

| Type of Rights | Number of rights | Percentage | Identity of Issuer | Currency | Conversion Price/Conversion Rate | Maturity Date | Exercise Type |
|--------------------|-------------------|-------------------|---|----------|----------------------------------|---------------|---------------|
| Convertible Bond | 15413265 | 15413265 | 2.474 (CH0253445131) Meyer Burger Technology AG | CHF | 0.980 / 1.020400 | 24.09.2020 | Physical |
| Total | 23'668'486 | 23'668'486 | 3.800 | | | | |
| Grand Total | 39'081'751 | 39'081'751 | 6.274 | | | | |